

The Charles Schwab Corporation Recent Client Trading Activity Report

	<u>09/09-09/13</u>	<u>09/16-09/20</u>	<u>09/23-09/27</u>	<u>09/30-10/04</u>	<u>10/07-10/11</u>	<u>10/14-10/18</u>	<u>10/21-10/25</u>	<u>10/28-11/01</u>	<u>11/04-11/08</u>	<u>11/11-11/15</u>	<u>11/18-11/22</u>	<u>11/25-11/29</u>	<u>12/02-12/06</u>
Trading Days	5	5	5	5	5	5	5	5	5	5	5	3.5	5
Clients' Daily Average Trades (in thousands)													
Revenue trades ⁽¹⁾	409	352	347	365	106	114	120	125	130	126	136	133	132
Asset-based trades ⁽²⁾	94	107	95	154	160	127	139	133	247	135	153	143	158
Other trades ⁽³⁾	162	180	370	227	407	384	431	452	520	448	512	462	517
Total	664	639	813	747	674	624	691	710	897	708	802	738	807

Notes: Weekly averages are updated after 1:00 pm Pacific time on the second business day of the following week. These preliminary estimates are intended to provide a directional view of client trading activity during a given weekly period. These estimates are subject to change and may be updated based on additional information. Please note that reported trading figures exclude syndicate trades.

⁽¹⁾ Includes all client trades that generate either commission revenue or revenue from principal markups (i.e., fixed income).

⁽²⁾ Includes all eligible trades executed by clients who participate in one or more of the Company's asset-based pricing relationships.

⁽³⁾ Effective October 7, 2019, includes all commission free trades, including online equity and ETF trades executed on U.S. and Canadian exchanges, Schwab Mutual Fund OneSource® funds and ETFs, and other proprietary products.