

## The Charles Schwab Corporation Recent Client Trading Activity Report

	<u>10/14-10/18</u>	<u>10/21-10/25</u>	<u>10/28-11/01</u>	<u>11/04-11/08</u>	<u>11/11-11/15</u>	<u>11/18-11/22</u>	<u>11/25-11/29</u>	<u>12/02-12/06</u>	<u>12/09-12/13</u>	<u>12/16-12/20</u>	<u>12/23-12/27</u>	<u>12/30-01/03</u>	<u>01/06-01/10</u>
<b>Trading Days</b>	5	5	5	5	5	5	3.5	5	5	5	3.5	4	5
<b>Clients' Daily Average Trades (in thousands)</b>													
Revenue trades <sup>(1)</sup>	114	120	125	130	126	136	133	132	134	155	142	149	165
Asset-based trades <sup>(2)</sup>	127	139	133	247	135	153	143	158	153	298	173	176	207
Other trades <sup>(3)</sup>	384	431	452	520	448	512	462	517	479	604	544	640	641
<b>Total</b>	624	691	710	897	708	802	738	807	766	1,057	859	965	1,013

Notes: Weekly averages are updated after 1:00 pm Pacific time on the second business day of the following week. These preliminary estimates are intended to provide a directional view of client trading activity during a given weekly period. These estimates are subject to change and may be updated based on additional information. Please note that reported trading figures exclude syndicate trades.

<sup>(1)</sup> Includes all client trades that generate either commission revenue or revenue from principal markups (i.e., fixed income).

<sup>(2)</sup> Includes all eligible trades executed by clients who participate in one or more of the Company's asset-based pricing relationships.

<sup>(3)</sup> Effective October 7, 2019, includes all commission free trades, including online equity and ETF trades executed on U.S. and Canadian exchanges, Schwab Mutual Fund OneSource® funds and ETFs, and other proprietary products.