

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): October 17, 2016**

**The Charles Schwab Corporation**

(Exact name of registrant as specified in its charter)

**Commission File Number: 1-9700**

**Delaware**  
(State or other jurisdiction  
of incorporation)

**94-3025021**  
(I.R.S. Employer  
Identification No.)

**211 Main Street, San Francisco, CA 94105**  
(Address of principal executive offices, including zip code)

**(415) 667-7000**  
(Registrant's telephone number, including area code)

**N/A**  
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## **Item 2.02 Results of Operations and Financial Condition**

On October 17, 2016, The Charles Schwab Corporation issued a press release announcing its financial results for the quarter ended September 30, 2016. A copy of the press release is furnished as Exhibit 99.1 to this report.

## **Item 9.01 Financial Statements and Exhibits**

(d) Exhibits

99.1 Press Release dated October 17, 2016 (“Schwab Reports Record Quarterly Net Income of \$503 Million, Up 34% – Net Revenues Rise 20% to \$1.9 Billion, Highest in Company History – Total Client Assets Reach Record \$2.73 Trillion, Up 13% From Prior Year”)

## **Signature(s)**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### **THE CHARLES SCHWAB CORPORATION**

Date: October 17, 2016

By: /s/ Joseph R. Martinetto

Joseph R. Martinetto  
Senior Executive Vice President and Chief Financial  
Officer

## **Exhibit Index**

<b><u>Exhibit No.</u></b>	<b><u>Description</u></b>
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Ex 99.1	Press Release dated October 17, 2016 (“Schwab Reports Record Quarterly Net Income of \$503 Million, Up 34% – Net Revenues Rise 20% to \$1.9 Billion, Highest in Company History – Total Client Assets Reach Record \$2.73 Trillion, Up 13% From Prior Year”)
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