

The Charles Schwab Corporation Supplemental Monthly Client Metrics For November 2021

	2020		2021										
	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov
Clients' Daily Average Trades (DATs) (in thousands)	6,171	6,627	8,688	9,211	7,531	5,993	5,821	6,289	5,699	5,410	5,546	5,884	6,683
Number of Trading Days	19.5	21.5	19.0	19.0	23.0	21.0	20.0	22.0	21.0	22.0	21.0	21.0	20.5
Select Assets in Client Accounts (in billions, at month end)													
Bank Deposit Account (BDA) Balances:													
Insured Deposit Account (IDA) Balances	151.4	154.1	156.0	154.2	152.4	148.8	148.3	150.0	138.9	140.3	142.0	142.1	141.8
Third-party BDA Balances	11.8	11.8	11.8	11.8	11.8	11.8	11.8	11.9	11.6	11.3	11.3	11.3	11.4
Total BDA Balances	163.2	165.9	167.8	166.0	164.2	160.6	160.1	161.9	150.5	151.6	153.3	153.4	153.2
Money Market Funds:													
Proprietary Purchased Money Market Funds	160.6	153.3	148.7	144.2	140.4	136.3	132.5	128.8	126.5	125.0	124.0	123.4	121.8
Proprietary Sweep Money Market Funds	21.6	22.8	22.8	23.4	23.2	22.6	22.8	23.1	23.2	23.8	23.7	24.2	24.5
Third-party Money Market Funds	20.9	19.7	19.5	19.5	18.2	17.5	15.5	15.2	14.9	14.5	14.2	13.7	13.4
Total Money Market Funds	203.1	195.8	191.0	187.1	181.8	176.4	170.8	167.1	164.6	163.3	161.9	161.3	159.7
Mutual Fund and Exchange-Traded Fund													
Net Buys (Sells) (in millions of dollars) ⁽¹⁾													
Equities:													
Large Capitalization Stock	4,454	3,693	(1,604)	3,143	6,447	5,949	3,025	3,453	5,057	4,508	3,109	3,547	5,738
Small / Mid Capitalization Stock	2,431	2,293	1,841	1,492	1,995	418	521	715	(1,658)	106	25	595	1,898
International	2,110	4,112	4,330	4,439	4,323	3,476	2,975	4,209	1,898	2,727	1,728	2,204	2,619
Specialized	1,985	3,777	3,667	5,172	3,536	3,579	3,333	2,496	2,121	1,467	2,734	2,494	2,844
Total Equities	10,980	13,875	8,234	14,246	16,301	13,422	9,854	10,873	7,418	8,808	7,596	8,840	13,099
Hybrid	(402)	359	407	832	1,133	877	1	390	666	569	335	81	308
Bonds:													
Taxable Bond	4,825	10,004	10,922	8,418	6,584	7,589	5,041	8,742	5,365	6,262	5,319	4,259	2,955
Tax-Free Bond	1,131	2,165	2,679	916	1,653	1,351	865	1,359	1,552	1,782	913	166	1,142
Total Bonds	5,956	12,169	13,601	9,334	8,237	8,940	5,906	10,101	6,917	8,044	6,232	4,425	4,097

⁽¹⁾ Represents the principal value of client mutual fund and ETF transactions handled by Schwab, including transactions in proprietary mutual funds and ETFs. Includes institutional funds available only to Investment Managers. Excludes money market fund transactions.

***The information in this spreadsheet is being provided for informational purposes and is subject to additions, deletions, and other changes. The company may choose to update or restate the information as appropriate.*