

The Charles Schwab Corporation Supplemental Monthly Client Metrics For October 2021

	2020			2021									
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct
Clients' Daily Average Trades (DATs) (in thousands)	4,652	6,171	6,627	8,688	9,211	7,531	5,993	5,821	6,289	5,699	5,410	5,546	5,884
Number of Trading Days	22.0	19.5	21.5	19.0	19.0	23.0	21.0	20.0	22.0	21.0	22.0	21.0	21.0
Select Assets in Client Accounts (in billions, at month end)													
Bank Deposit Account (BDA) Balances:													
Insured Deposit Account (IDA) Balances	146.8	151.4	154.1	156.0	154.2	152.4	148.8	148.3	150.0	138.9	140.3	142.0	142.1
Third-party BDA Balances	11.8	11.8	11.8	11.8	11.8	11.8	11.8	11.8	11.9	11.6	11.3	11.3	11.3
Total BDA Balances	158.6	163.2	165.9	167.8	166.0	164.2	160.6	160.1	161.9	150.5	151.6	153.3	153.4
Money Market Funds:													
Proprietary Purchased Money Market Funds	165.3	160.6	153.3	148.7	144.2	140.4	136.3	132.5	128.8	126.5	125.0	124.0	123.4
Proprietary Sweep Money Market Funds	21.4	21.6	22.8	22.8	23.4	23.2	22.6	22.8	23.1	23.2	23.8	23.7	24.2
Third-party Money Market Funds	22.0	20.9	19.7	19.5	19.5	18.2	17.5	15.5	15.2	14.9	14.5	14.2	13.7
Total Money Market Funds	208.7	203.1	195.8	191.0	187.1	181.8	176.4	170.8	167.1	164.6	163.3	161.9	161.3
Mutual Fund and Exchange-Traded Fund													
Net Buys (Sells) (in millions of dollars) ⁽¹⁾													
Equities:													
Large Capitalization Stock	(935)	4,454	3,693	(1,604)	3,143	6,447	5,949	3,025	3,453	5,057	4,508	3,109	3,547
Small / Mid Capitalization Stock	(753)	2,431	2,293	1,841	1,492	1,995	418	521	715	(1,658)	106	25	595
International	168	2,110	4,112	4,330	4,439	4,323	3,476	2,975	4,209	1,898	2,727	1,728	2,204
Specialized	215	1,985	3,777	3,667	5,172	3,536	3,579	3,333	2,496	2,121	1,467	2,734	2,494
Total Equities	(1,305)	10,980	13,875	8,234	14,246	16,301	13,422	9,854	10,873	7,418	8,808	7,596	8,840
Hybrid	(553)	(402)	359	407	832	1,133	877	1	390	666	569	335	81
Bonds:													
Taxable Bond	5,904	4,825	10,004	10,922	8,418	6,584	7,589	5,041	8,742	5,365	6,262	5,319	4,259
Tax-Free Bond	861	1,131	2,165	2,679	916	1,653	1,351	865	1,359	1,552	1,782	913	166
Total Bonds	6,765	5,956	12,169	13,601	9,334	8,237	8,940	5,906	10,101	6,917	8,044	6,232	4,425

Note: Metrics are inclusive of TD Ameritrade beginning October 6, 2020.

⁽¹⁾ Represents the principal value of client mutual fund and ETF transactions handled by Schwab, including transactions in proprietary mutual funds and ETFs. Includes institutional funds available only to Investment Managers. Excludes money market fund transactions.

***The information in this spreadsheet is being provided for informational purposes and is subject to additions, deletions, and other changes. The company may choose to update or restate the information as appropriate.*