

The Charles Schwab Corporation Supplemental Monthly Client Metrics For October 2023

	2022			2023									
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct
Clients' Daily Average Trades (DATs) (in thousands)	5,601	5,393	5,172	5,822	5,963	5,903	5,081	5,257	5,461	5,665	5,177	4,829	5,221
Number of Trading Days	21.0	20.5	21.0	20.0	19.0	23.0	19.0	22.0	21.0	19.5	23.0	20.0	22.0
Select Assets in Client Accounts (in billions, at month end)													
Transactional Sweep Cash:													
Bank Sweep Deposits and Broker-Dealer Free Credits	439.3	423.0	415.2	391.8	368.6	339.1	317.9	310.7	305.1	298.4	279.8	277.2	267.5
Other Client Cash on the Balance Sheet ⁽¹⁾	42.6	38.0	39.3	41.8	39.9	39.0	38.2	36.6	38.1	35.5	37.5	30.5	34.3
Bank Deposit Account Balances	132.8	127.8	126.6	119.5	111.8	106.5	103.2	103.0	102.7	102.4	101.5	99.5	96.1
Transactional Sweep Cash	614.7	588.8	581.1	553.1	520.3	484.6	459.3	450.3	445.9	436.3	418.8	407.2	397.9
Money Market Funds:													
Proprietary Purchased Money Market Funds	213.2	231.5	258.5	285.7	310.8	341.1	349.8	366.4	376.6	386.2	405.2	419.8	438.2
Proprietary Sweep Money Market Funds	20.1	19.5	20.4	19.4	18.7	16.7	15.8	15.8	16.3	16.3	15.8	16.5	16.9
Third-party Money Market Funds	3.4	3.3	3.2	3.1	3.0	2.9	2.9	2.7	2.7	2.6	2.5	1.0	0.9
Total Money Market Funds	236.7	254.3	282.1	308.2	332.5	360.7	368.5	384.9	395.6	405.1	423.5	437.3	456.0
Schwab Bank Certificates of Deposit (CDs)													
On-Platform Schwab Bank CDs	-	-	1.2	2.1	3.0	6.5	8.0	9.5	9.5	9.3	10.3	10.4	10.4
Off-Platform Schwab Bank CDs	-	-	4.8	7.8	12.4	23.9	27.8	31.7	31.7	30.9	34.2	35.1	35.0
Total Schwab Bank CDs	-	-	6.0	9.9	15.4	30.4	35.8	41.2	41.2	40.2	44.5	45.5	45.4
Mutual Fund and Exchange-Traded Fund													
Net Buys (Sells) (in millions of dollars) ⁽²⁾													
Equities:													
Large Capitalization Stock	2,461	2,130	206	264	1,229	(388)	(558)	(718)	5,213	3,160	335	1,805	1,426
Small / Mid Capitalization Stock	1,171	816	(420)	882	1,580	(1,350)	(366)	(892)	1,152	1,246	136	(410)	(730)
International	(55)	321	(305)	4,785	2,945	11	1,395	995	2,412	1,531	423	(221)	(1,740)
Specialized	407	510	(1,318)	1,305	96	(1,507)	655	(751)	413	1,486	(1,172)	(499)	(1,995)
Total Equities	3,984	3,777	(1,837)	7,236	5,850	(3,234)	1,126	(1,366)	9,190	7,423	(278)	675	(3,039)
Hybrid	(1,380)	(2,052)	(1,595)	(433)	47	(1,641)	(462)	(889)	(903)	(407)	(1,037)	(828)	(1,457)
Bonds:													
Taxable Bond	(5,065)	(3,124)	(1,798)	4,380	4,317	5,562	2,689	2,161	3,184	2,235	4,703	3,447	2,540
Tax-Free Bond	(2,153)	(597)	(1,462)	1,266	(36)	596	(114)	(132)	118	280	(7)	(724)	(1,446)
Total Bonds	(7,218)	(3,721)	(3,260)	5,646	4,281	6,158	2,575	2,029	3,302	2,515	4,696	2,723	1,094

⁽¹⁾ Other client cash held on the balance sheet includes bank checking and savings deposits as well as broker-dealer non-interest-bearing credits. Excludes proprietary and third-party CDs.

⁽²⁾ Represents the principal value of client mutual fund and ETF transactions handled by Schwab, including transactions in proprietary mutual funds and ETFs. Includes institutional funds available only to Investment Managers. Excludes money market fund transactions.

****The information in this spreadsheet is being provided for informational purposes and is subject to additions, deletions, and other changes. The company may choose to update or restate the information as appropriate.**