

The Charles Schwab Corporation Supplemental Monthly Client Metrics For September 2021

	2020				2021								
	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Clients' Daily Average Trades (DATs) (in thousands)	1,509	4,652	6,171	6,627	8,688	9,211	7,531	5,993	5,821	6,289	5,699	5,410	5,546
Number of Trading Days	21.0	22.0	19.5	21.5	19.0	19.0	23.0	21.0	20.0	22.0	21.0	22.0	21.0
Select Assets in Client Accounts (in billions, at month end)													
Bank Deposit Account (BDA) Balances:													
Insured Deposit Account (IDA) Balances	-	146.8	151.4	154.1	156.0	154.2	152.4	148.8	148.3	150.0	138.9	140.3	142.0
Third-party BDA Balances	-	11.8	11.8	11.8	11.8	11.8	11.8	11.8	11.8	11.9	11.6	11.3	11.3
Total BDA Balances	-	158.6	163.2	165.9	167.8	166.0	164.2	160.6	160.1	161.9	150.5	151.6	153.3
Money Market Funds:													
Proprietary Purchased Money Market Funds	169.8	165.3	160.6	153.3	148.7	144.2	140.4	136.3	132.5	128.8	126.5	125.0	124.0
Proprietary Sweep Money Market Funds	20.5	21.4	21.6	22.8	22.8	23.4	23.2	22.6	22.8	23.1	23.2	23.8	23.7
Third-party Money Market Funds	0.3	22.0	20.9	19.7	19.5	19.5	18.2	17.5	15.5	15.2	14.9	14.5	14.2
Total Money Market Funds	190.6	208.7	203.1	195.8	191.0	187.1	181.8	176.4	170.8	167.1	164.6	163.3	161.9
Mutual Fund and Exchange-Traded Fund													
Net Buys (Sells) (in millions of dollars) ⁽¹⁾													
Equities:													
Large Capitalization Stock	(1,360)	(935)	4,454	3,693	(1,604)	3,143	6,447	5,949	3,025	3,453	5,057	4,508	3,109
Small / Mid Capitalization Stock	(497)	(753)	2,431	2,293	1,841	1,492	1,995	418	521	715	(1,658)	106	25
International	370	168	2,110	4,112	4,330	4,439	4,323	3,476	2,975	4,209	1,898	2,727	1,728
Specialized	115	215	1,985	3,777	3,667	5,172	3,536	3,579	3,333	2,496	2,121	1,467	2,734
Total Equities	(1,372)	(1,305)	10,980	13,875	8,234	14,246	16,301	13,422	9,854	10,873	7,418	8,808	7,596
Hybrid	(12)	(553)	(402)	359	407	832	1,133	877	1	390	666	569	335
Bonds:													
Taxable Bond	5,734	5,904	4,825	10,004	10,922	8,418	6,584	7,589	5,041	8,742	5,365	6,262	5,319
Tax-Free Bond	1,123	861	1,131	2,165	2,679	916	1,653	1,351	865	1,359	1,552	1,782	913
Total Bonds	6,857	6,765	5,956	12,169	13,601	9,334	8,237	8,940	5,906	10,101	6,917	8,044	6,232

Note: Metrics are inclusive of TD Ameritrade beginning October 6, 2020.

⁽¹⁾ Represents the principal value of client mutual fund and ETF transactions handled by Schwab, including transactions in proprietary mutual funds and ETFs. Includes institutional funds available only to Investment Managers. Excludes money market fund transactions.

***The information in this spreadsheet is being provided for informational purposes and is subject to additions, deletions, and other changes. The company may choose to update or restate the information as appropriate.*