

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (date of earliest event reported): October 11, 2021

The Charles Schwab Corporation

(Exact name of registrant as specified in its charter)

Commission File Number: 1-9700

Delaware

(State or other jurisdiction of incorporation
or organization)

94-3025021

(I.R.S. Employer Identification No.)

3000 Schwab Way, Westlake, TX 76262

(Address of principal executive offices, including zip code)

(817) 859-5000

(Registrant's telephone number, including area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

<u>Title of each class</u>	<u>Trading Symbol(s)</u>	<u>Name of each exchange on which registered</u>
Common Stock – \$.01 par value per share	SCHW	New York Stock Exchange
Depository Shares, each representing a 1/40th ownership interest in a share of 5.95% Non-Cumulative Preferred Stock, Series D	SCHW PrD	New York Stock Exchange
Depository Shares, each representing a 1/40th ownership interest in a share of 4.450% Non-Cumulative Preferred Stock, Series J	SCHW PrJ	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Appointment of President

On October 11, 2021, the Board of Directors of The Charles Schwab Corporation (CSC) appointed Richard A. Wurster, 48, as President of CSC, effective October 15, 2021. Mr. Wurster has been the Executive Vice President, Head of Schwab Asset Management Services of CSC since 2019 and Executive Vice President – Schwab Asset Management Solutions of Charles Schwab & Co., Inc. (CS&Co) since 2019. He has been Chief Executive Officer of Charles Schwab Investment Management, Inc. since 2019 and Chief Executive Officer and President of Charles Schwab Investment Advisory, Inc. since 2018.

Mr. Wurster served as Senior Vice President of CS&Co from 2016 until 2019, Chief Executive Officer of ThomasPartners, Inc. from 2016 until 2018, and Chief Executive Officer of Windhaven Investment Management, Inc. from 2016 until 2018. Mr. Wurster joined the company in 2016.

CS&Co, Charles Schwab Investment Management, Inc., and Charles Schwab Investment Advisory, Inc. are subsidiaries of CSC, and ThomasPartners, Inc. and Windhaven Investment Management, Inc. were subsidiaries of CSC during Mr. Wurster's tenure.

Walter W. Bettinger II, who served as President and Chief Executive Officer of CSC, will continue to serve as Chief Executive Officer of CSC.

Signature(s)

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

THE CHARLES SCHWAB CORPORATION

Date: October 15, 2021

By: /s/ Peter Crawford

Peter Crawford

Executive Vice President and Chief Financial Officer