

ADDLink

Manage employee accounts with added ease and efficiency

Compliance Solutions Account Data Delivery Link Processing (ADDLink) gives you the ability to add or remove accounts from your Charles Schwab direct feed directly through your Schwab Compliance Technologies platform. Best of all, there's no additional fee to access the feature.

ADDLink everyday

Adding or eliminating employee account links is a common occurrence. ADDLink helps you tackle the process quickly and efficiently:

- New employee hire
- Promotion (or change of roles) prompts a change in employee monitoring requirements
- Merger or acquisition of another company brings in a new team with monitoring requirements
- Employee departures—monitoring no longer needed

Here's a look at how the ADDLink features will benefit you:

Process and productivity

It's all in one central location with ADDLink—and accessible to your authorized users 24/7 from any location.

- Automated, one-click submission and confirmation
- Urgent requests escalated immediately
- Less paperwork, more productivity

Data integrity

Centralized access prevents possible data compromise.

- Requests and processes transparent
- Fewer “handlers”

Security

Reduced risk of potential vulnerabilities with reliance on third-party email communications, multiple points of contact and independent reporting.

- Point-to-point secure connections
- Direct access to employee data

Tracking/Auditing

Streamlined and accessible reporting when you need it.

- Track compliance data in real time

Realize the benefits

With ADDLink, single- or multiple-entry requests are submitted at one time in a secure environment using the employee monitoring platform you already know and rely upon. No hand-offs, no hassles or headaches.

- Eliminate reliance on third party mail vendor, SMP or TLS connections to communicate account additions or removals
- Improve workflow efficiency and coverage redundancies; no need for tracking account changes via separate reports and emails
- Protect data integrity by utilizing specified formats and data fields, including additional assurance using account number validation versus a disclosed account list
- Accurate, easy, real-time requests/confirmation tracking
- Fast, direct escalation of exceptions or unprocessed items
- Efficient processing of large volume requests

Getting started is easy

You and your team can be up and running quickly.

To get started with ADDLink, sign and return the ADDLink addendum to your existing DBS Client Agreement and Schwab Compliance Technologies Subscription Agreement. Once we receive your signed addendums, we will contact you to schedule a convenient time to train you and your team.



Questions?

Contact the Compliance Solutions
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