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SCHWAB

fi360® Toolkit for Retirement Plan Advisors

Boost your efficiency. Streamline your process.

Given the highly regulated requirements for selecting and monitoring plan investments, having ready access to a reliable solution to perform these functions is critical. The fi360 Toolkit, now integrated with Schwab, can help you manage and present the fiduciary process and significantly reduce the amount of time you spend on administrative updates. What's more, this customized platform gives you objective, data-driven guidance to help you make more informed investment decisions for your clients.

ELEVATE YOUR STANDARD OF CARE

Schwab helps you thrive in an increasingly competitive market:

- A customized platform with embedded fiduciary standard-of-care principles and industry best practices that demonstrate compliance with current regulations—and that is designed to remain up to date as regulations change.

EASILY ACCESS EXPERT SUPPORT

With Schwab, you always have access to the support you need:

- On-demand technical support is available by phone or through webinars and tutorials.
- The fi360 Toolkit is accessible 24/7.

STREAMLINE RECORD MANAGEMENT AND REPORTING

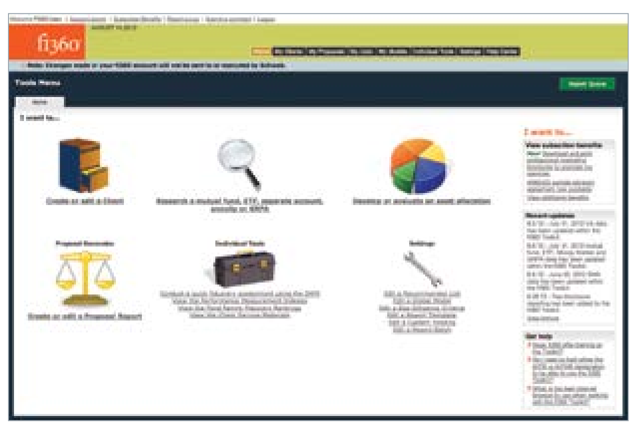
If your clients are on the Charles Schwab Trust and Custody platform, data integration is simple:

- Quickly generate reports that contain the specific content you need, such as investment fees, policy statements, or revenue-sharing rates.
- Create your firm's own 408(b)(2) disclosure.
- Produce an annual 404(a)(5)-compliant report for plan participants.

COMPARE AND CONTRAST INVESTMENT HOLDINGS

Advanced features can help you and your clients make better investment decisions:

- Easily evaluate and compare current investment holdings to proposed fund lineups.
- Analyze asset allocation, investment performance, and style analysis—and share those insights with clients.
- Generate proposals or leverage existing proposals to help current and prospective clients.



Now integrated with Schwab, the fi360 Toolkit can help you elevate your standard of care.

OVERSEE CLIENT INVESTMENTS

Develop, document, and track client investments:

- Search and screen funds available through Schwab or any other platform.
- Evaluate investments for prudent fiduciary management using the fi360® Fiduciary Score®
- Analyze funds using side-by-side comparisons.
- Tailor plans to reflect client needs using a custom due diligence methodology.
- Utilize custom universes and saved searches for faster, more efficient processes.
- Manage your watch lists, benchmarks, and more.

DEVELOP AND TEST ASSET ALLOCATIONS

Practice effective risk management confidently:

- Utilize a patented optimization process to build new models based on a risk-return profile or review the risk-return characteristics of existing models.
- Compare a client's existing portfolio allocation to strategic allocation and other benchmarks.

MANAGE CLIENT RECORDS

Organize client records efficiently and effectively:

- Generate investment policy statements that communicate investment strategy activities and include specific client needs and decision points.
- Create customized, client-friendly reports on demand or use default FINRA-reviewed reports, including plan proposal, portfolio monitoring, investment profile, and more.
- Review plan holding balances that are automatically populated monthly from the Schwab platform.
- Produce a comprehensive fee summary for all plan service providers.

CUSTOMIZE YOUR SETTINGS

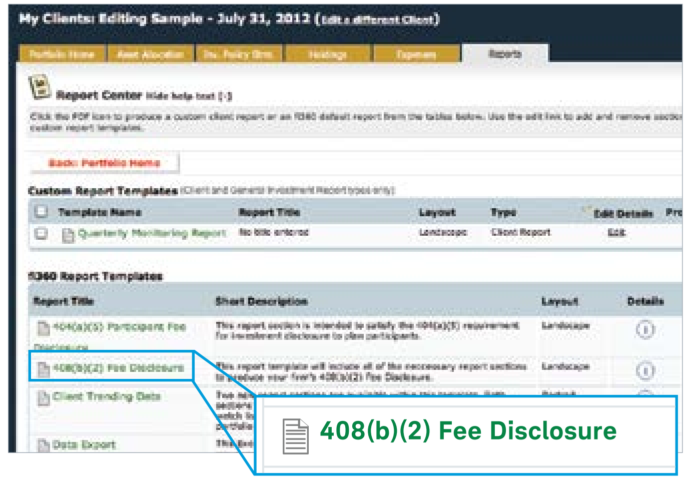
Create customized communications that are uniquely your own:

- Create reports that showcase your company name and include disclosures specific to your business within a variety of report templates.

EVALUATE YOUR PERFORMANCE

Know how your business adheres to standards of excellence:

- Conduct self-assessments that analyze how well your business meets a defined global fiduciary standard of excellence.



The fi360 Toolkit allows you to create your firm's own 408(b)(2) disclosure.

GROW YOUR BUSINESS

Available at an institutional price, the customized platinum version of the fi360 Toolkit helps you provide superior service. You'll benefit from efficient auto-updates of investment information from the Schwab Bank platform,[1] and the ability to import holdings on plans you serve at Schwab simply and easily. Find out more today.

Learn More

Call 877-456-0777
or visit schwab.com/
retirementadvisorsolutions.

FOR INSTITUTIONAL AUDIENCES ONLY

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