



Highlights from our May Consultant Forum:

Thank you for a great turnout at the May forum! If you couldn't join or would like to have a follow up discussion, please reach out to me – details in the signature below.

Topics covered:

- Growth initiatives – our priority is investing in capabilities for consultants and plan sponsors
- Financial wellness – how we're addressing the diverse financial needs of participants and what that looks like today
- Wealth management and retirement services collide - working together as an industry to best serve participants
- ESG* investing - emerging trends and how ESG investing fits into retirement plans with guest speaker Inga Rachwald, Director, Investment Portfolio Strategist
- Cryptocurrency and 401(k) plans – DOL guidance

ESG and cryptocurrency were the most asked about topics. Here's a round-up of insights you may find helpful.

- [Is there a place for cryptocurrency investments in a 401\(k\) plan?](#) Randy Frederick, Managing Director of Trading and Derivatives Schwab Center for Finance weighs in during the April Schwab Market Talk.
- Market Commentary: [Bitcoin – does it have a place in your portfolio?](#)
- Financial Decoder podcast: [Should you invest in cryptocurrency?](#)
- [How the ESG space is evolving and what to consider for a portfolio.](#)
- The rise of values investing: [2022 Modern Wealth Survey](#)
- Podcast: [Can Your Portfolio Make an Impact and a Profit?](#)

Corporate News

[Introducing Schwab Personalized Indexing](#)

(Note this product is not available through retirement plans.)

[Schwab Introduces Third-Party ESG Ratings for Individual Stocks](#)

Regulatory and Legislative

[Washington update](#) Secure Act 2.0, DOL cryptocurrency guidance, and SEC issues.

Events and Education

[Schwab Market Watch webcast series](#)

June 7th, 11a ET

Experts address your market and economic questions.

[Biagnostics for Plan Advisors webcast](#)

June 23, 1p ET

Learn how to build behavioral finance into your retirement practice.

[PLANSPONSOR National Conference](#) ¹

June 8-10

If you're attending, we'd love to see you at our booth, and be sure to catch Brian Bender's keynote on June 9th:

A Change of Plans: How to prepare your 401(k) for the modern employee.



Taylor Garlesky

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* Environmental, social and governance (ESG) strategies implemented by mutual funds, exchange-traded funds (ETFs), and separately managed accounts are currently subject to inconsistent industry definitions and standards for the measurement and evaluation of ESG factors; therefore, such factors may differ significantly across strategies. As a result, it may be difficult to compare ESG investment products. An investment product's ESG strategy may significantly influence its performance. Carefully review an investment product's prospectus or disclosure brochure to learn more about how it incorporates ESG factors into its investment strategy.

Strategies that use screening to exclude certain investments may not be able to take advantage of the same opportunities or market trends as strategies that do not use screens. There can be no assurance

that the strategies will achieve their desired outcomes. Each investing strategy brings with it its own set of unique risks and benefits.

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