



Results are in! We are honored and proud to share:

Schwab Retirement Plan Services received 48 Best in Class awards, the most of any plan provider rated in the PLANSPONSOR® Defined Contribution (DC) Survey for the fifth year in a row. ¹

Schwab Retirement Plan Services received the most #1 Net Recommendation/Promoter Scores* among qualifying providers, including #1 ranking in the large and mega markets (>\$200MM-\$1B and >\$1B in plan assets, respectively) as rated in the PLANSPONSOR® 2021 Defined Contribution (DC) Survey. ²

Industry Trends

[Opinion: Young workers wonder: 'When should I start saving for retirement?' – Catherine Golladay, Head of Schwab Workplace Financial Services](#)

[Tracking trends in managed accounts](#)

The Top Four of 2021

[Schwab 401\(k\) survey shows sharp increase in confidence and demand for financial advice](#)

[Four Ways to Raise the Bar on a Defined Contribution Plan](#)

[Schwab @Home with Schwab Retirement Plan Services](#)

[Right-size? Build confidence? Ramp up savings? Retirement considerations for each generation in 2021](#) ³

Corporate News

[2021 Year in Review: A year of service and support](#)

[How we're helping participants understand how the pieces of their financial lives can fit together: Retirement module now available in My Financial Guide](#)

Coming soon: a new look and more insights for you! Changes are coming to our website for consultants and advisors at workplace.schwab.com/consultants

Regulatory and Legislative

[Washington Update: A busy regulatory agenda ahead in 2022](#)

[WashingtonWise: Will interest rates tame inflation?](#)

Events and Education

[Schwab Market Watch webcast series](#)

February 8, 11 a.m. ET

[Strategies for working with women investors](#) on-demand

[Cybersecurity and fraud: The latest threats and how you, your clients, and Schwab can fight them](#) on-demand

[Client Plan Health Dashboard](#) on-demand

[NAPA 401\(k\) Summit](#) ³



Taylor Garlesky

Consultant Relations
Schwab Retirement Plan Services, Inc.
877-783-4372 | consultantsupport@schwab.com

Own your tomorrow.



1. 48 in the PLANSPONSOR® 2021 Defined Contribution (DC) Survey, 102 in the PLANSPONSOR® 2020 Defined Contribution (DC) Survey, 88 in the PLANSPONSOR® 2019 Defined Contribution (DC) Survey, 74 in the PLANSPONSOR® 2018 Defined Contribution (DC) Survey, and 62 in the

PLANSPONSOR® 2017 Defined Contribution (DC) Survey. A total of 15 providers qualified for inclusion in the 2021 results, down from 17 providers listed in 2020 and 23 providers listed in 2017, 2018, and 2019. Each study covered six asset-based markets and five broad categories. "Charles Schwab" is Schwab Retirement Plan Services, Inc. for purposes of this award. More information regarding survey results and change in methodology can be found at:

<https://www.plansponsor.com/research/2021-best-class-dc-providers/?pagesec=5#Methodology>.

"Charles Schwab" is Schwab Retirement Plan Services, Inc. for purposes of this award.

2. Net Recommendation/Promoter Score is based on Satmetrix Systems' Net Promoter ScoreSM methodology and represents the percentage of promoters likely to recommend minus the percentage of detractors likely to recommend against. More information regarding survey results and methodology can be found at: <https://www.plansponsor.com/research/2021-best-class-dc-providers/?pagesec=5#Methodology>.

"Charles Schwab" is Schwab Retirement Plan Services, Inc. for purposes of this award.

3. You may be accessing a third party website. Schwab Retirement Plan Services, Inc. ("SRPS") has not reviewed the sites referenced herein and is not responsible for the content of any off-site pages or any other linked sites. No judgment or warranty is made with respect to the accuracy, timeliness, completeness or suitability of the content of the services or sites to which these screens link, and SRPS takes no responsibility therefore. A link to a service or site outside of SRPS is not an endorsement of the service or site, its content, or its sponsoring organization. SRPS provides links to other Internet sites solely as a convenience to its users. Your linking to these sites is at your own risk.

"We" and "our" refers to Schwab Retirement Plan Services, Inc.

"Schwab" refers to Charles Schwab & Co., Inc.

Workplace Financial Services is a business enterprise which offers products and services through Schwab Retirement Plan Services, Inc.; Schwab Stock Plan Services; and Compliance Solutions. Schwab Retirement Plan Services, Inc., provides recordkeeping and related services with respect to retirement plans. Schwab Stock Plan Services is a division of Charles Schwab & Co., Inc. providing equity compensation plan services and brokerage solutions for corporate clients. Compliance Solutions is comprised of Schwab Designated Brokerage Services (DBS), a division of Charles Schwab & Co., Inc., and Schwab Compliance Technologies, Inc. (SchwabCT). DBS provides brokerage solutions for corporate clients who monitor their employees' securities activity. SchwabCT provides technology solutions for corporate clients to help facilitate their compliance technology program implementation. Schwab Retirement Plan Services, Inc., Schwab Compliance Technologies, Inc., and Charles Schwab & Co., Inc. (Member SIPC) are separate but affiliated entities, and each is a subsidiary of The Charles Schwab Corporation.

Schwab Retirement Plan Services, Inc. and Charles Schwab & Co., Inc. are separate but affiliated companies and subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Member [SIPC](#)).

Schwab Retirement Plan Services, Inc. created this communication for retirement plan sponsors and retirement plan consultants, advisors, and other retirement plan service providers and fiduciaries only. Schwab Retirement Plan Services, Inc. is not a fiduciary to retirement plans or participants and only provides recordkeeping and related services.

We respect your privacy. Please read our [privacy policy](#).

Notice: All email sent to or from the Charles Schwab corporate email system may be retained, monitored, and/or reviewed by affiliated personnel.

Schwab Retirement Plan Services, Inc., 4150 Kinross Lakes Parkway, Richfield, OH 44286

©2022 Schwab Retirement Plan Services, Inc., All rights reserved.

(0222-2YAJ) EML117158C-00 (02/22)